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# **BLN Webinar: Challenges in Ascertaining Proof of Vaccination in Routine Childhood Immunization in Burkina Faso**

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**Dr Lassane Kabore (PhD)**

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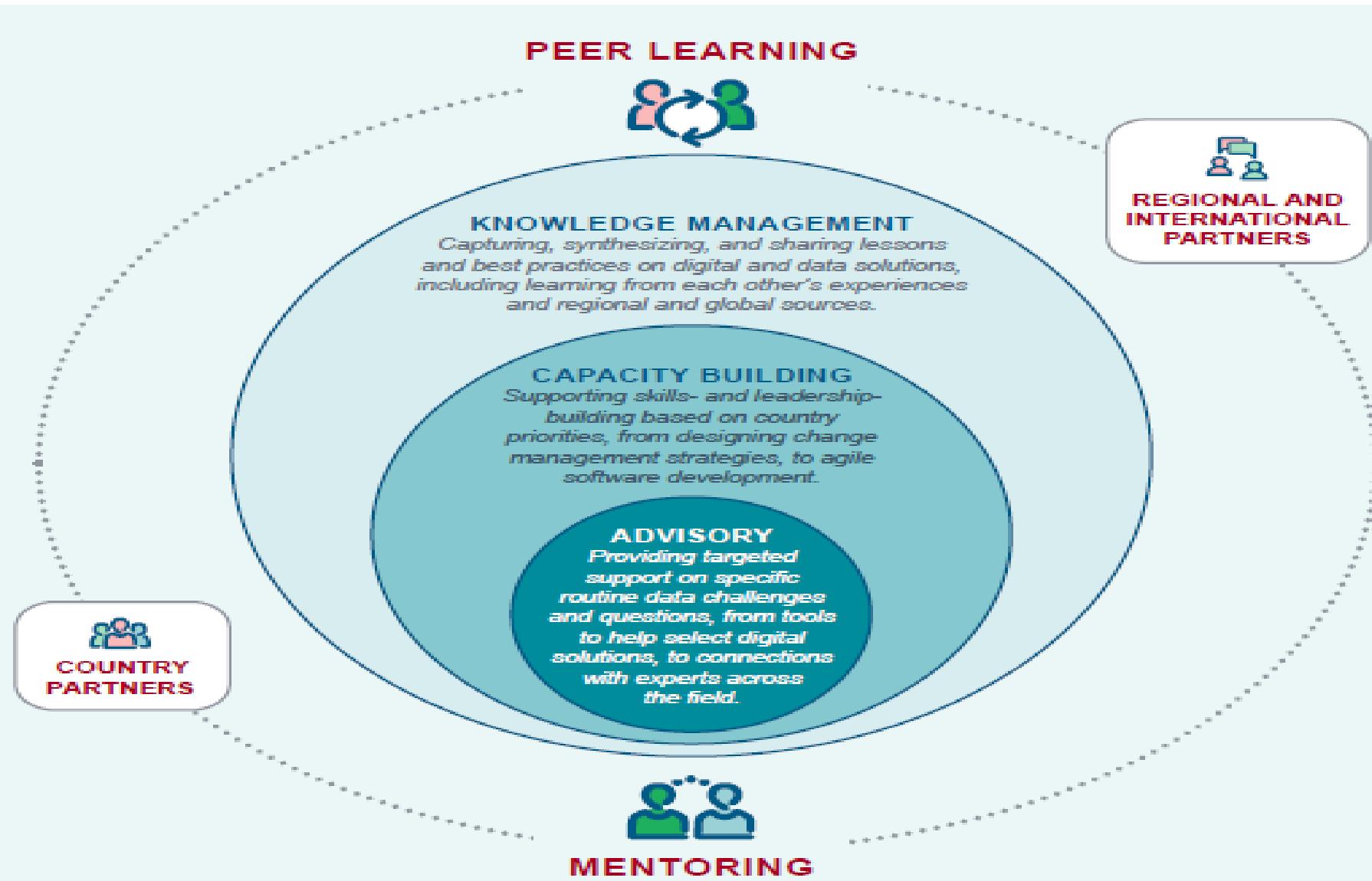
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# BID LEARNING NETWORK

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Health Care  
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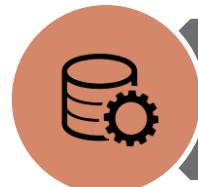
Funders



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# CHALLENGES IN ASCERTAINING PROOF OF VACCINATION IN ROUTINE CHILDHOOD IMMUNIZATION IN BURKINA FASO

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# **CHALLENGES IN ASCERTAINING PROOF OF VACCINATION IN THE ROUTINE CHILDHOOD IMMUNIZATION IN BURKINA FASO**

Better Immunization Data Learning Network (BLN)

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*Webinar*

May 14, 2020

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CENTER FOR VACCINE INNOVATION AND ACCESS, PATH



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Vaccine

[journal homepage: www.elsevier.com/locate/vaccine](#)



## Quality and reliability of vaccination documentation in the routine childhood immunization program in Burkina Faso: Results from a cross-sectional survey



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# OUTLINE

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- Background
- Methods
- Results
- Conclusions
- Lessons learned
- Recommendations
- Acknowledgments

## BACKGROUND

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Burkina Faso has recently introduced several new vaccines into the routine immunization program:

- Rotavirus and pneumococcal conjugate vaccines (PCV) in 2013;
- Measles-rubella second dose in 2015
- Serogroup A meningococcal conjugate vaccine in 2017
- Inactivated polio vaccine in 2018

## BACKGROUND (CTD.)

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- This expansion of the routine schedule constitutes an opportunity to update vaccination recording forms:
  - Health facility-based records (FBRs), a.k.a. immunization register
  - Home-based records (HBRs), a.k.a. vaccination cards
- Both can be valuable data sources in determining individual vaccination status for:
  - Service delivery
  - Program performance review (estimation of vaccine coverage)

## BACKGROUND (CTD.)

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- HBRs are increasingly the focus of field research, including on their:
  - Characteristics
  - Availability
  - Value in determining vaccination status
- Little is known about FBRs and HBRs in low-income settings with frequent new vaccine introduction
- For Burkina Faso, field observations had indicated an important diversity in circulating HBRs

## BACKGROUND (CTD.)

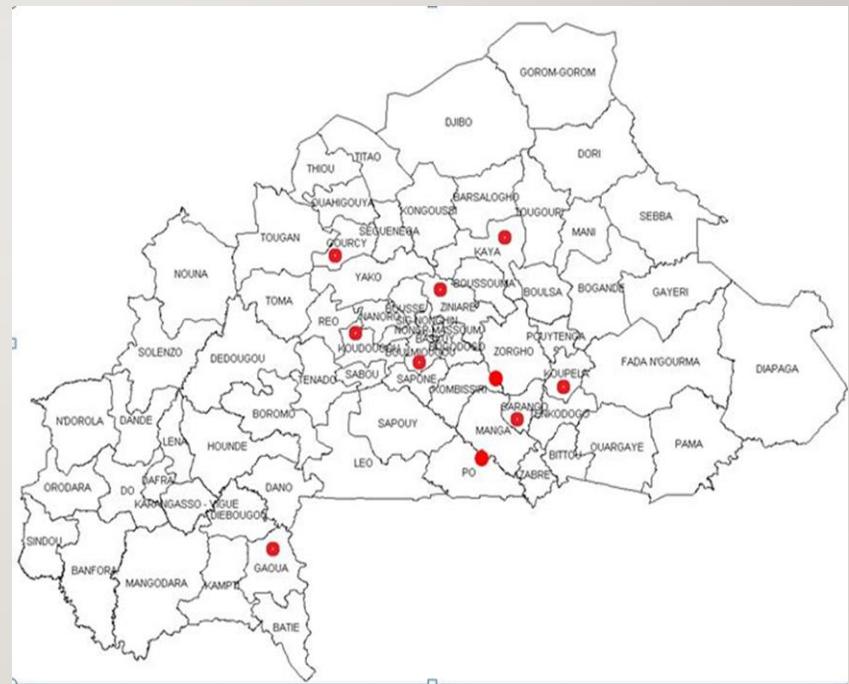
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- As new vaccines are introduced, FBRs and HBRs need to be updated timely, and countrywide
- Otherwise, capturing the exact vaccination picture of a given population could pose challenges
- Administrative coverage is prone to numerator or denominator biases
- This study assessed:
  - The characteristics of HBRs and FBRs,
  - Their completion by vaccination providers
  - Their usefulness in estimating vaccine coverage

# METHODS

- **Setting:** Burkina Faso,

10 health districts selected from 70



# METHODS (CTD.)

- Design

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- 6-week (Dec. 2016-Feb 2017) questionnaire-based cross-sectional survey in 10 health districts that had suboptimal performance, and were being supported by an immunization strengthening program (GHSA)
- 3 Health facilities selected in each district (convenience sampling)
- 20 caregivers interviewed in each health facility
  - ✓ 15 caregivers of children aged 0-11 months
  - ✓ 5 caregivers of children aged 12-23 months

## METHODS (CTD.)

- **Data collection**

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Structured questionnaires were used to collect:

- Sociodemographic variables of the caregiver/child
- Characteristics of HBRs:
  - ✓ number and names of vaccines displayed,
  - ✓ dates of vaccines received from both the HBR and the FBR
- History of vaccination for PCV and rotavirus vaccines (considered easier to remember)
- Characteristics of health facilities, and of FBRs
- Sample pictures were taken to illustrate the main types of HBRs encountered

## METHODS (CTD.)

- **Data analyses**

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### **Operational definitions:**

- **Standard HBR:** One that showed preprinted recording fields for all the 17 vaccine doses of the routine schedule at the time of the survey
- **Standard FBR:** the official and updated vaccination register supplied by the EPI directorate of the Ministry of Health
- **Fully immunized children:** those who received all recommended vaccines between their birth and the age of 12 months
- **Discordance** of vaccination information between HBR and FBR: Having different vaccination dates recorded for at least one vaccine dose, or vaccination information missing in one of the records; could vary between 0 and 17.

# METHODS (CTD.)

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- Data analyses

- Generated descriptive statistics (absolute and relative frequencies)
- Assessed relationships between discordance (used as data quality indicator) and potential predictors by logistic regression
- Calculated dose-specific coverage for each of the 17 doses of the EPI schedule and the proportions of FICs using HBRs, FBRs, and a combination of both, respectively
- FICs also calculated considering history of vaccination (PCV and Rota) as acceptable source (sensitivity analyses)
- Assessed agreement between HBRs and FBRs, taking HBRs as “gold standard”, and calculated sensitivity, specificity, predictive values and the Kappa statistic
- Used Stata 13 for all analyses and considered p-value < 0.05 as significant

## METHODS (CTD.)

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- Ethical considerations
  - Deemed to be non-research activity
  - Considered programmatic evaluation
  - Approved by the Ministry of Health
  - Conducted in close collaboration with the EPI
  - Involved verbal consents of respondents before interviews
  - Final database contains no identifying information

# RESULTS

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## Sociodemographics

- 619 children recruited:
  - 458 (74.0%) aged 0-11 months
  - 302 (48.8%) females
  - 352 (43.1%) from rural areas
- The mother was the caregiver for 98% of the children
- 53.3% of caregivers had no formal education

## RESULTS (CTD.)

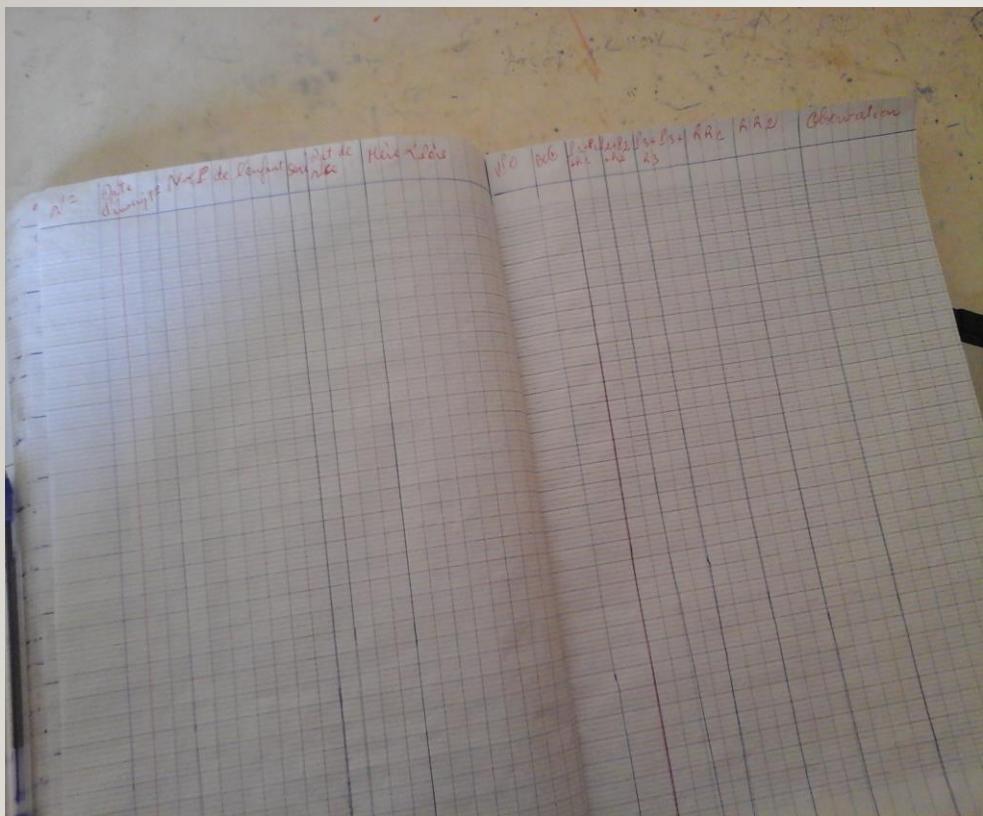
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### Characteristics of health facilities

- All 30 HF<sub>s</sub> were public
- Number of staff in charge of immunization activities ranged from 1 to 10 (**median of 3**)
- **All** HF<sub>s</sub> had registers (FBRs) to record vaccination data
  - *Two thirds (20/30) of these FBRs were standard*
  - *The other third consisted of a variety of adaptations from the standard version, including 3 notebooks and 7 locally-made FBRs.*

# RESULTS (CTD.)

- Non-standard FBRs



# RESULTS (CTD.)

Fig.1. Samples of the main types of HBRs,  
Burkina Faso, 2017

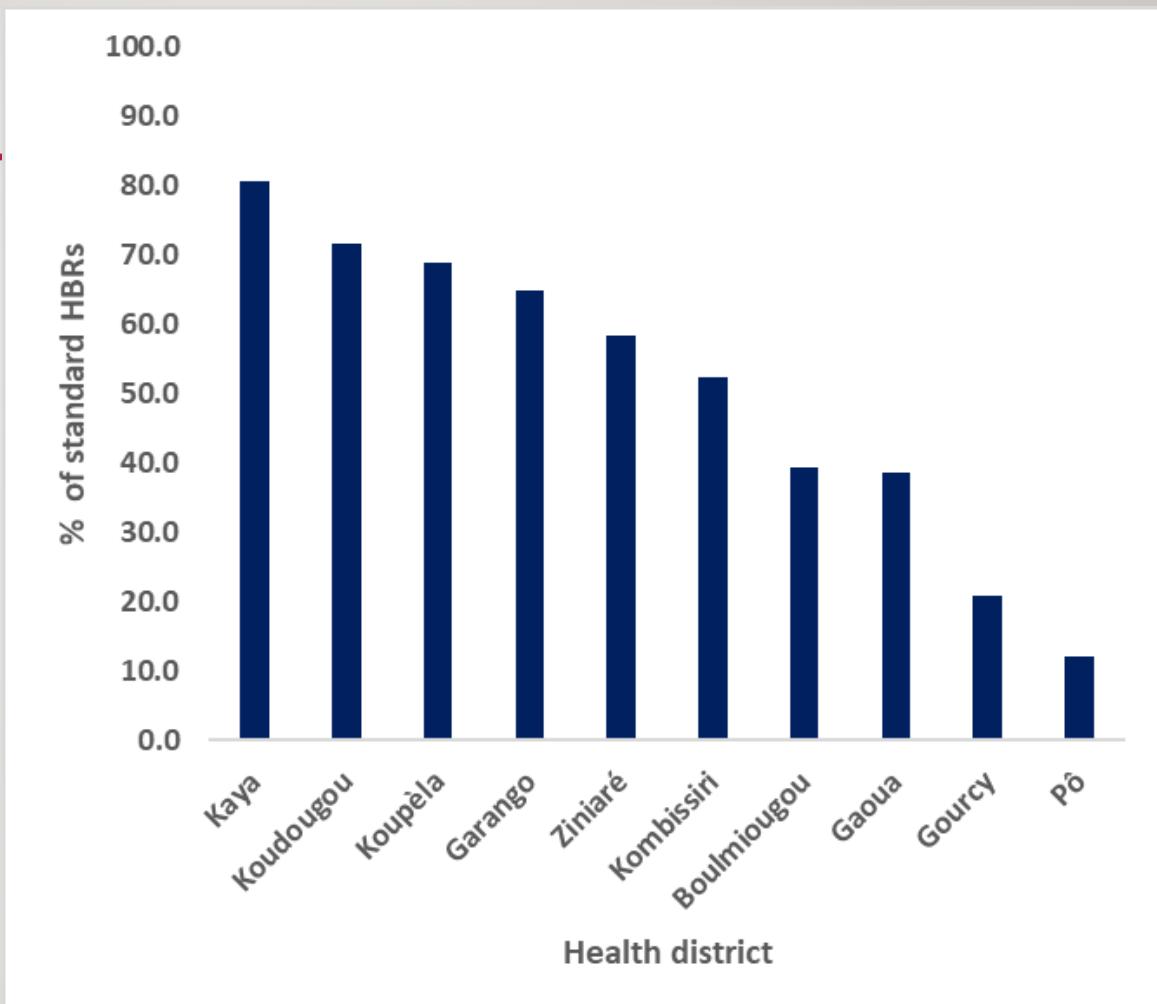
- a. Standard home-based record displaying all the 17 required items
- b. School note-book sheet used as home-based record
- c. Home-based record not displaying recently introduced vaccines (PCV, rota, MR2)
- d. Curative consultation card used as vaccination home-based record

<p><b>a.</b></p> <p align="center"><b>VACCINATIONS</b></p> <table border="1"> <thead> <tr> <th>Vaccination</th> <th>Date d'administration</th> <th>N° lot</th> <th>Date Péremption</th> </tr> </thead> <tbody> <tr><td>CG</td><td>19/12/16</td><td>94/06/00</td><td>06/09/17</td></tr> <tr><td>PO 0</td><td>20/12/16</td><td>94/06/00</td><td>06/09/17</td></tr> <tr><td>PO 1</td><td>19/12/16</td><td></td><td></td></tr> <tr><td>PO 2</td><td></td><td></td><td></td></tr> <tr><td>PO 3</td><td></td><td></td><td></td></tr> <tr><td>DTC-HepB-Hib-1</td><td>19/12/16</td><td></td><td></td></tr> <tr><td>DTC-HepB-Hib-2</td><td></td><td></td><td></td></tr> <tr><td>DTC-HepB-Hib-3</td><td></td><td></td><td></td></tr> <tr><td>Pneumo-1</td><td>19/12/16</td><td></td><td></td></tr> <tr><td>Pneumo-2</td><td></td><td></td><td></td></tr> <tr><td>Pneumo-3</td><td></td><td></td><td></td></tr> <tr><td>Rota-1</td><td>19/12/16</td><td></td><td></td></tr> <tr><td>Rota-2</td><td></td><td></td><td></td></tr> <tr><td>Rota-3</td><td></td><td></td><td></td></tr> <tr><td>RR1</td><td></td><td></td><td></td></tr> <tr><td>VAA</td><td></td><td></td><td></td></tr> <tr><td>RR2</td><td></td><td></td><td></td></tr> <tr> <td align="center" colspan="4">VITAMINE A REçUE LE</td> </tr> <tr> <td align="center" colspan="4">1 20 20</td> </tr> </tbody> </table>	Vaccination	Date d'administration	N° lot	Date Péremption	CG	19/12/16	94/06/00	06/09/17	PO 0	20/12/16	94/06/00	06/09/17	PO 1	19/12/16			PO 2				PO 3				DTC-HepB-Hib-1	19/12/16			DTC-HepB-Hib-2				DTC-HepB-Hib-3				Pneumo-1	19/12/16			Pneumo-2				Pneumo-3				Rota-1	19/12/16			Rota-2				Rota-3				RR1				VAA				RR2				VITAMINE A REçUE LE				1 20 20				<p><b>b.</b></p> <p align="center">N° 45/16 14/01/16 BCG+VPO - 16/08/16 PDC Véo 1 12/03/16 PDC 2 Véo 2 11/01/16 PDC 3 Véo 3 DTC+VPO3+R+P 11/01/16 Pdc 7,100 16/05/16</p>
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## RESULTS (CTD.)

- **Standard HBRs**
- Of 615 HBR assessed, 311 (50.6%) were considered standard.
- % varied between 12.1% in Pô and 80.7% in Kaya

Fig.2. Proportions of standard HBRs by health district

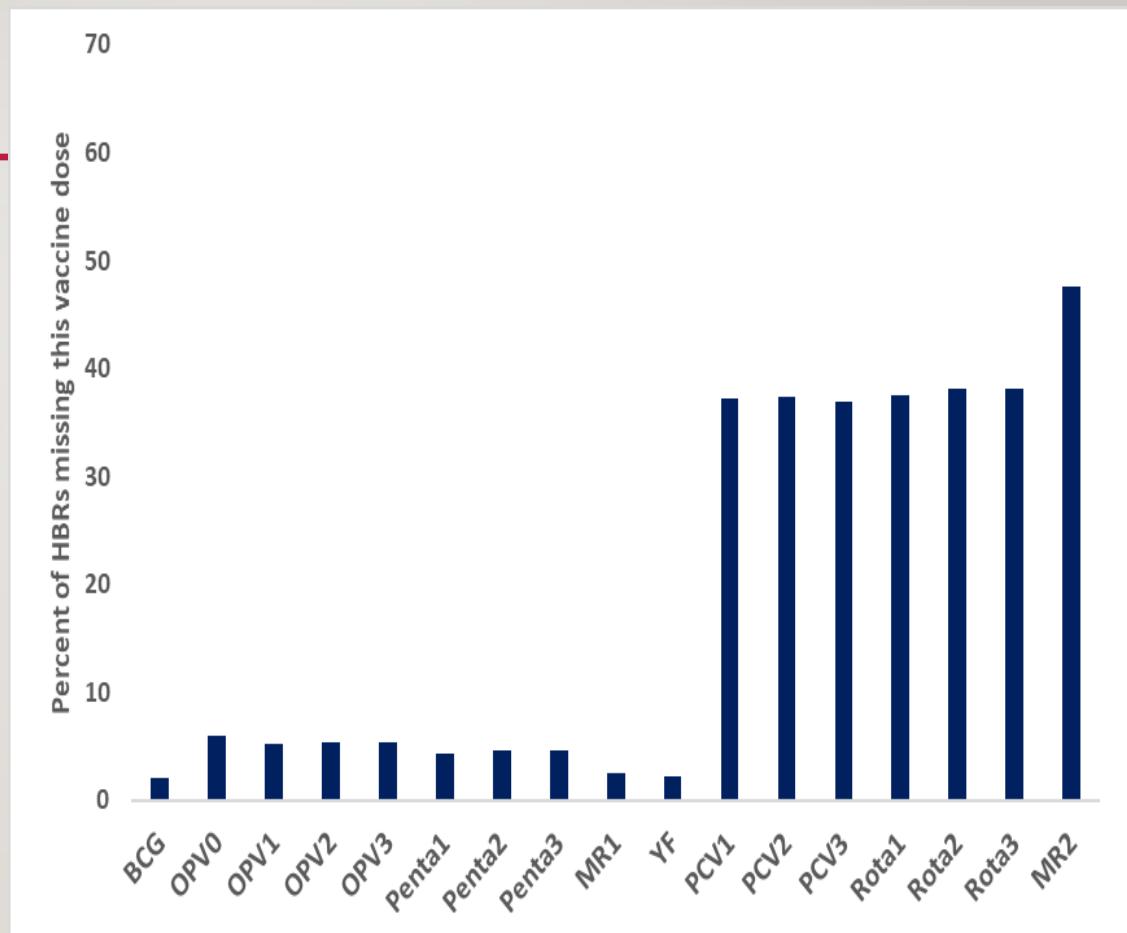


## RESULTS (CTD.)

### Which antigens are missing from HBRs?

The % of HBRs that did not have dose-specific data recording field varied between 2.1% for BCG and 47.6% for MR2

Fig. 3. Proportions of HBRs that did not have recording fields for the different antigens



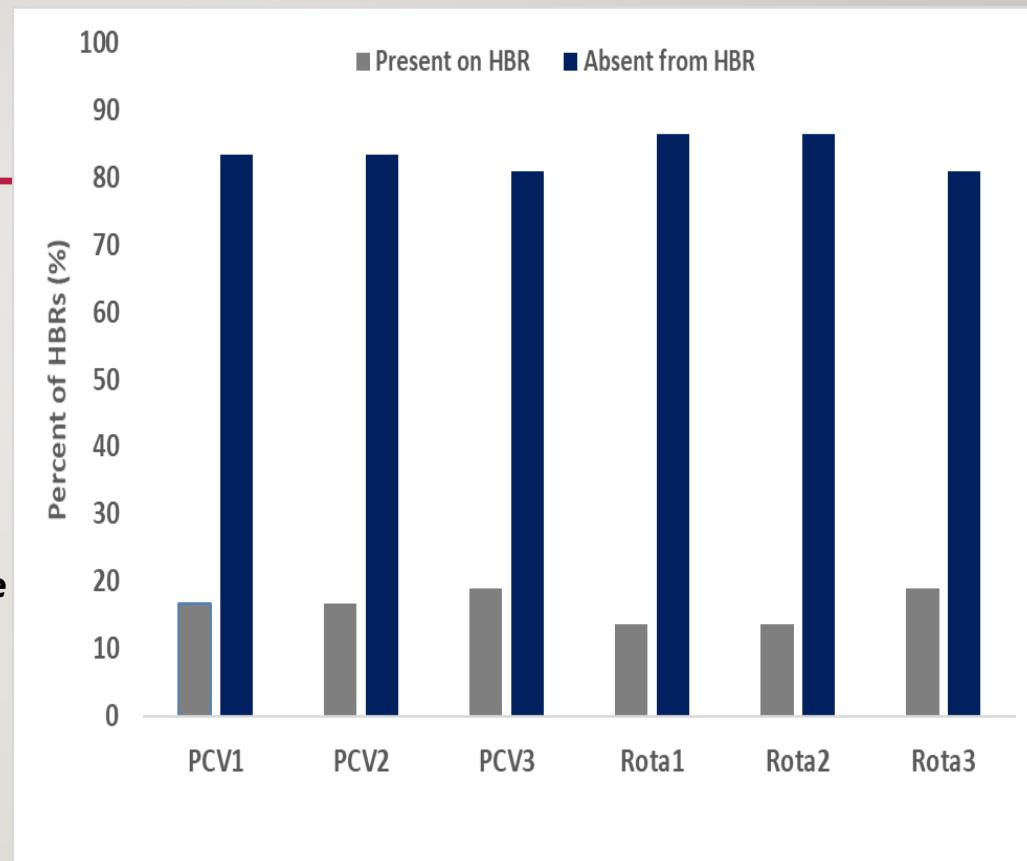
## RESULTS (CTD.)

- **Completion of HBRs after vaccination**

- Most children who were vaccinated according to the FBR, but had no mention of such vaccination in their HBR, had HBRs that missed recording fields for the same vaccines

=> ***Under-reporting occurs primarily when the HBR is outdated***

Fig.4. % of HBRs with data recording fields for selected vaccine doses among children vaccinated according to the FBR but unvaccinated according to their HBR, Burkina Faso, 2017



# RESULTS

(CTD.)

## AGREEMENT FBRs-HBRS

- Median Kappa: 0.48

(IQR: 0.26-0.66) =>

**moderate  
agreement**

- FBRs had generally a  
**low negative  
predictive value**

Table 1. Agreement  
between FBRs and HBRs

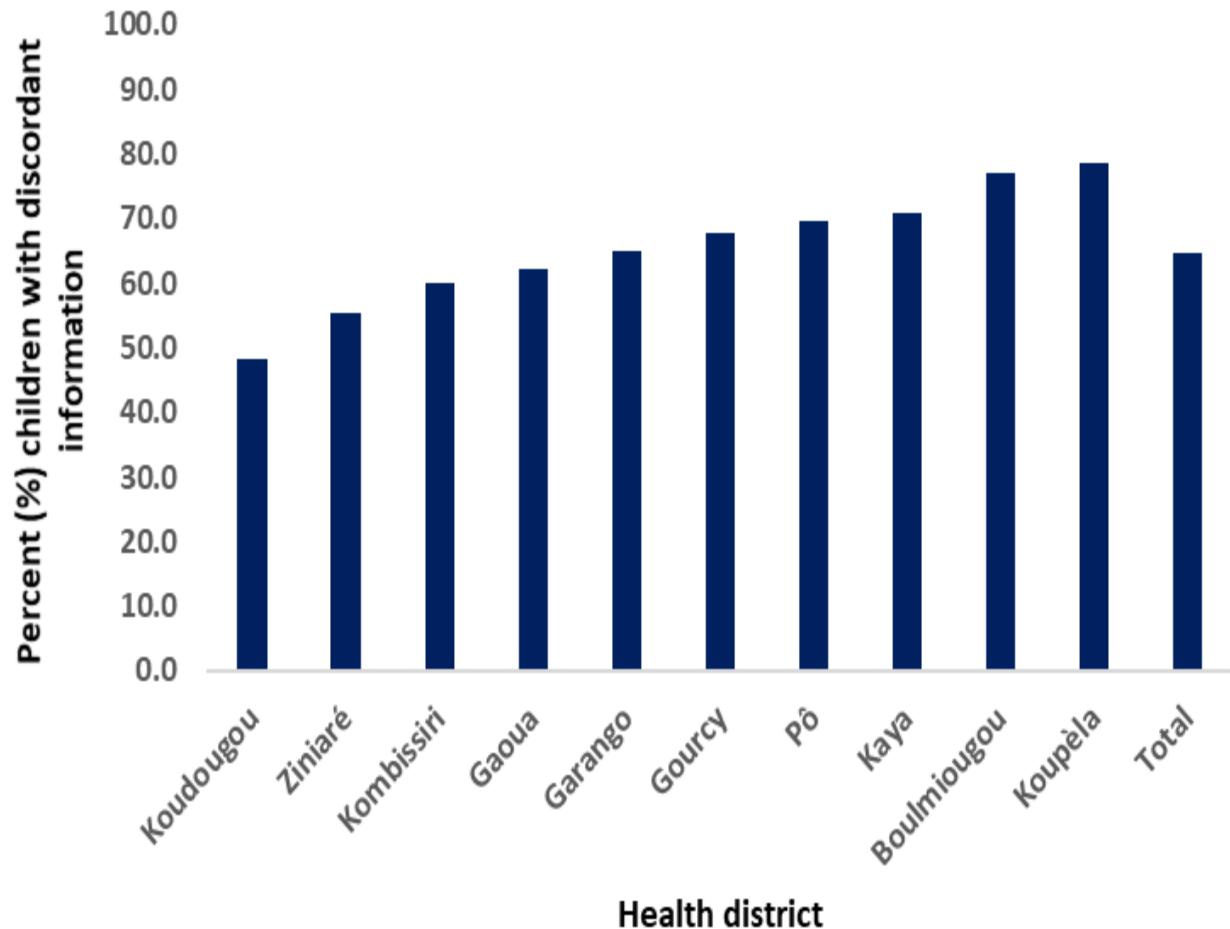
Vaccine	Concordance	Kappa	Sensitivity	Specificity	PPV	NPV
<b>BCG</b>	0.92	0.26	0.92	I	I	0.16
<b>OPV0</b>	0.92	0.51	0.92	0.91	0.99	0.39
<b>OPV1</b>	0.86	0.15	0.86	0.67	0.99	0.11
<b>OPV2</b>	0.84	0.21	0.84	0.75	0.99	0.16
<b>OPV3</b>	0.82	0.35	0.82	0.85	0.98	0.28
<b>Penta1</b>	0.88	0.005	0.89	0.14	0.99	0.01
<b>Penta2</b>	0.86	0.12	0.87	0.58	0.99	0.09
<b>Penta3</b>	0.86	0.36	0.86	0.85	0.99	0.28
<b>Rota1</b>	0.86	0.65	0.86	0.85	0.95	0.67
<b>Rota2</b>	0.85	0.66	0.85	0.86	0.94	0.70
<b>Rota3</b>	0.84	0.67	0.84	0.86	0.92	0.74
<b>PCV1</b>	0.86	0.65	0.86	0.84	0.94	0.67
<b>PCV2</b>	0.85	0.66	0.85	0.84	0.93	0.70
<b>PCV3</b>	0.85	0.68	0.84	0.86	0.92	0.75
<b>MR1</b>	0.83	0.48	0.83	0.87	0.98	0.41
<b>MR2</b>	0.72	0.40	0.67	0.92	0.97	0.41
<b>YF</b>	0.87	0.74	0.76	0.98	0.98	0.80

## RESULTS (CTD.)

- Discordance FBR-HBR

**64.6%** of children had discordant vaccination information between the HBR and the FBR

Fig.5. % of Proportions of children with discordant vaccination information for at least one vaccine dose, Burkina Faso, 2017.



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## RESULTS (CTD.)

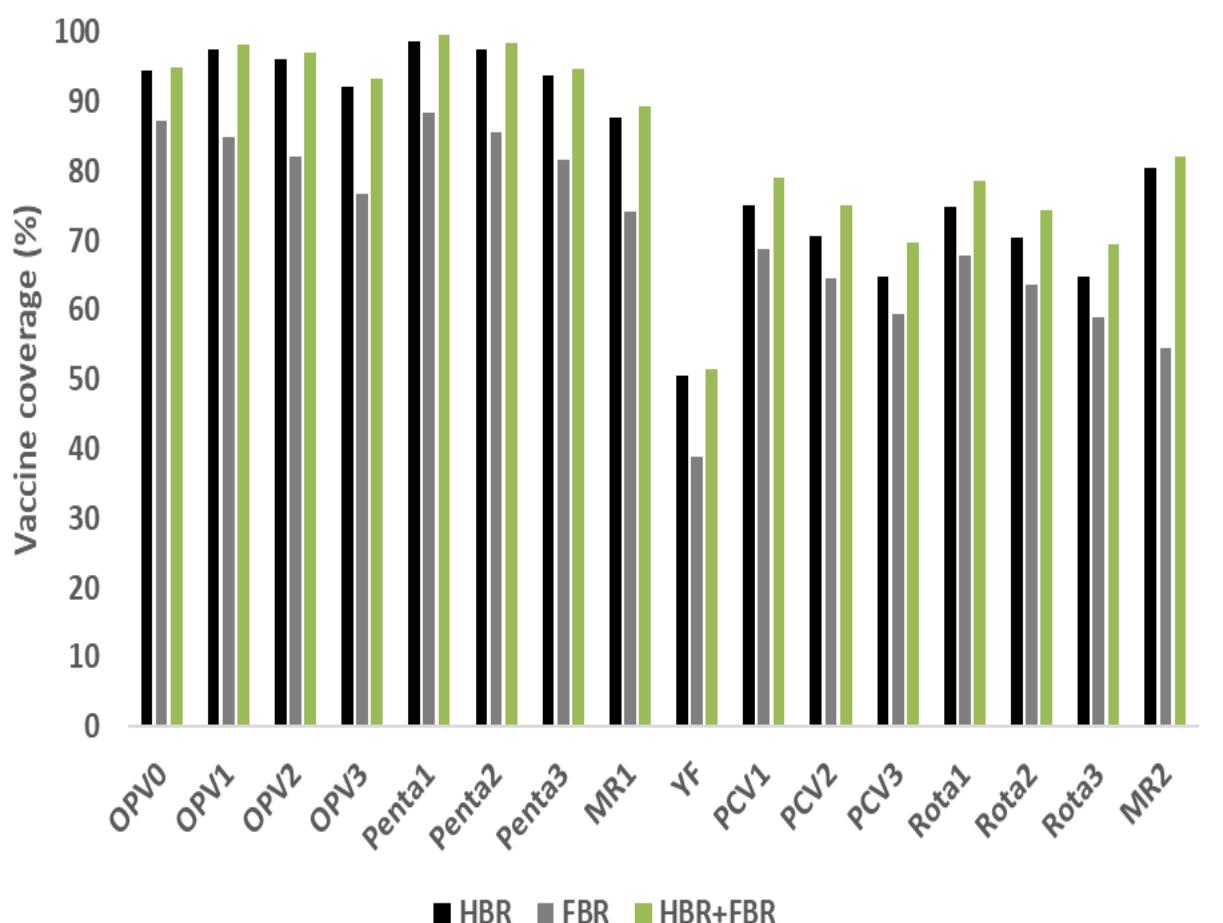
- **Factors associated with discordance**
  - Multivariable logistic regression model included: *age group, standard HBR, standard FBR, timely completion of the FBR*
  - Being in the 12-23 months age group ( $OR= 3.05$ , 95% CI: 1.76-5.30,  $p=0.000$ ) was a “**risk factor**”
  - Possessing a standard HBR ( $OR= 0.46$ , 95% CI: 0.26-0.81,  $p=0.010$ ) was “**protective**”

## RESULTS (CTD.)

Antigen-specific coverage  
by source of information

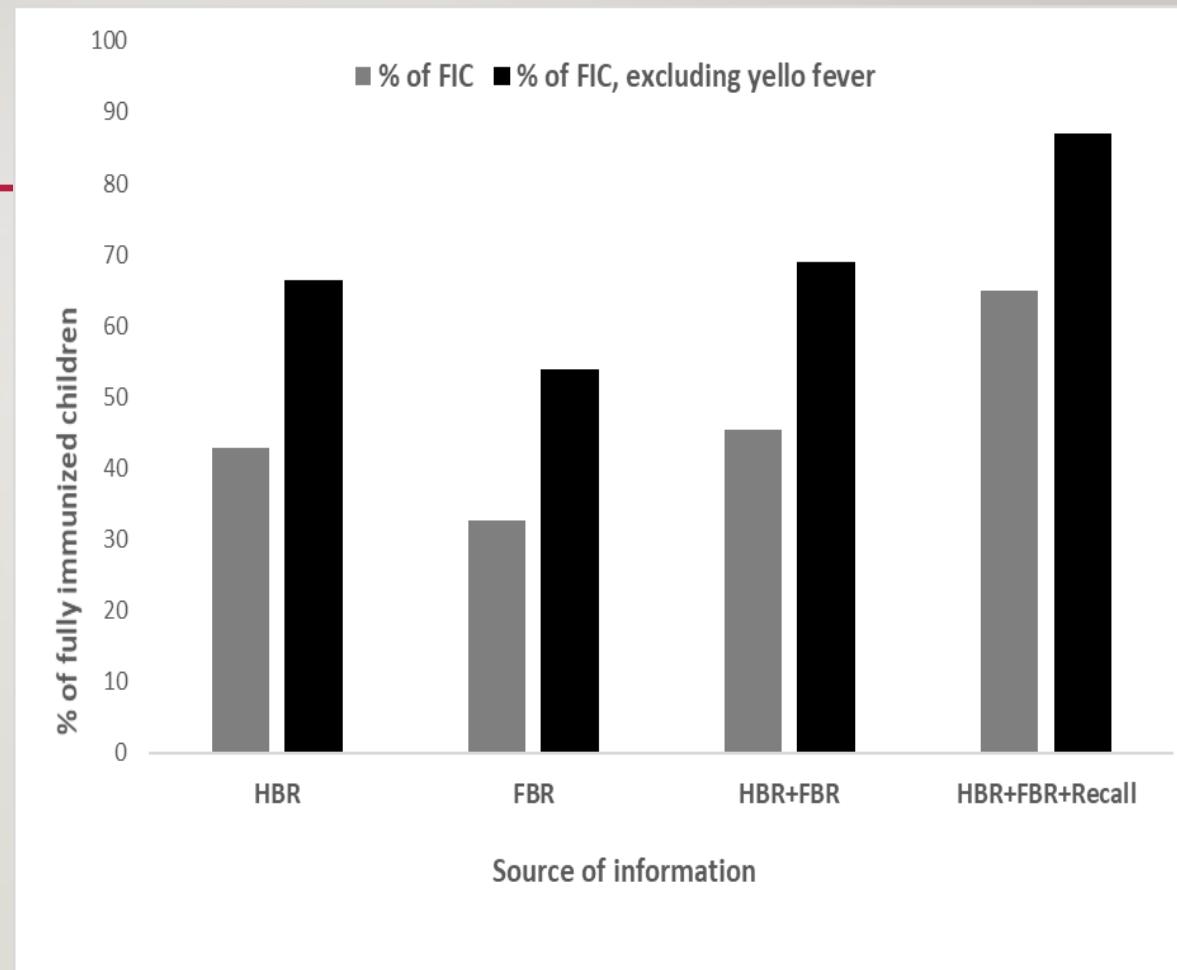
- For all 17 vaccine doses, Coverage estimated with HBRs was greater than that with FBRs

Fig.5. Dose-specific coverage by source of information, Burkina Faso, 2017



## RESULTS (CTD.)

- Fully immunized children by source of information
    - The % of FICs were **42.9%, 32.7%, 45.3% and 64.9%** for HBR, FBR, HBR combined with FBR, and HBR combined with FBR and recall, respectively.
    - If YF vaccine was excluded, these proportions increased to **66.3.6%, 53.8%, 68.9% and 86.9%**, respectively.
- Fig.5. % of fully immunized children by source of information, with and without YF (global shortage)



## RESULTS (CTD.): SUMMARY

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- Half of HBRs and a 1/3 of FBRs were outdated and unfit to properly capture individual vaccination information
- Inconsistent completion of these forms by vaccinators:
  - *1 in 6 FBRs was not filled in with the latest vaccination information*
  - *Many vaccine doses were not recorded in HBRs following vaccine administration*
- Agreement between HBRs and FBRs varied across vaccine doses, with a median of 0.48 (**moderate agreement**)
- Nearly 2/3 of children were concerned with discordance of vaccination information between FBRs and HBRs
- Adding information obtained from caregivers' recall increased VC estimates

## LESSONS LEARNED

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- The quality of recording forms (FBRs and HBRs) will determine the quality of their filling by vaccinators—You cannot assume, "they will manage"
- Triangulation between HBRs and FBRs may prove useful in the determination of vaccination status
- The precision of coverage estimates depends on the quality of primary recording, which itself depends on the quality of the recording forms
- The patterns of primary recording of vaccination information may be an overlooked area in immunization data quality improvement efforts

# RECOMMENDATIONS

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- **Short-term actions**

- Participatory planning involving all stakeholders
- Regular redesign and pretesting of HBRs and FBRs as the routine schedule evolves;
- Ensuring continuous supply of HBRs and FBRs;
- Training and/or supportive supervision of health workers;
- Implementation of job-aids;
- Field monitoring followed by use of data for timely decision-making

- **Longer term action**

- Implementation of an electronic immunization registry

## RECOMMENDATIONS (CTD.)

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- Beyond Burkina Faso, such actions are also relevant for other countries with similar contexts
- Future research should include systematic pictures taking and assess other quality elements beyond vaccination recording fields.

## ACKNOWLEDGEMENTS

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- The Global Health Security Agenda
- The Ministry of Health–Burkina Faso
- The Agence de Medecine Preventive
- Study support team
- Study participants

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# THANK YOU





# QUESTIONS ?

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